

tastyworks, Inc. - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2021

October 2021

S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 9.60 | 34.15 | 44.57 | 11.68 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CITADEL SECURITIES LLC | 80.05 | 80.56 | 80.37 | 79.90 | 79.30 | 341.08 | 15.0000 | 1,677.13 | 14.9031 | 1,542.85 | 14.7640 | 671.99 | 11.8094 |
| G1X Execution Services, LLC | 19.95 | 19.44 | 19.63 | 20.10 | 20.70 | 88.01 | 14.8593 | 434.09 | 14.8874 | 383.46 | 14.9249 | 161.24 | 14.9095 |

Material Aspects:

CITADEL SECURITIES LLC:

tastyworks routes orders to this destination and receives payment for executed orders. Details of the payment are outlined above.

G1X Execution Services, LLC:

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October 2021

Non-S&P 500 Stocks

Summary

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|--|---|---|---|--|
| | | | | |

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 10.73 | 40.06 | 38.31 | 10.90 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CITADEL SECURITIES LLC | 80.42 | 80.25 | 80.56 | 80.42 | 80.08 | 4,950.88 | 13.7525 | 19,593.15 | 12.7748 | 12,803.30 | 12.6063 | 5,362.28 | 8.1898 |
| G1X Execution Services, LLC | 19.58 | 19.75 | 19.44 | 19.58 | 19.92 | 1,020.85 | 13.3670 | 4,300.47 | 13.1173 | 3,063.86 | 13.6092 | 1,217.87 | 14.5124 |

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October 2021

Options

Summary

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|--|---|---|---|--|
| 100.00 | 0.63 | 5.77 | 7.93 | 85.67 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CITADEL SECURITIES LLC | 39.27 | 44.74 | 45.22 | 46.32 | 38.18 | 49,318.25 | 52.8485 | 737,510.65 | 52.7683 | 423,978.55 | 48.8560 | 881,476.82 | 40.3268 |
| DASH/IMC | 34.12 | 22.19 | 21.57 | 21.25 | 36.24 | 24,439.94 | 51.5578 | 347,755.43 | 51.4110 | 188,493.85 | 47.4166 | 1,008,428.87 | 37.6939 |
| Global Execution Brokers, LP | 18.70 | 13.65 | 13.65 | 14.12 | 19.50 | 11,322.10 | 51.6613 | 175,760.27 | 51.2693 | 140,867.06 | 47.7318 | 455,083.63 | 37.3708 |
| Matrix Executions, LLC / Simplex Trading, LLC | 7.85 | 19.43 | 19.56 | 18.32 | 6.01 | 55.50 | 50.0000 | 151,947.50 | 50.0000 | 329,443.00 | 50.0000 | 173,549.00 | 50.0000 |

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November 2021

S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 8.65 | 33.66 | 46.48 | 11.21 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CITADEL SECURITIES LLC | 80.19 | 80.75 | 80.11 | 80.24 | 79.83 | 444.21 | 14.9999 | 2,254.36 | 14.7943 | 2,416.05 | 14.6993 | 963.61 | 11.7031 |
| G1X Execution Services, LLC | 19.81 | 19.25 | 19.89 | 19.76 | 20.17 | 110.73 | 14.8384 | 573.05 | 14.8386 | 591.33 | 14.8906 | 236.47 | 14.9405 |

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November 2021

Non-S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 9.82 | 38.43 | 40.96 | 10.79 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CITADEL SECURITIES LLC | 80.55 | 80.58 | 80.87 | 80.26 | 80.46 | 4,032.40 | 13.4392 | 19,240.79 | 12.5839 | 13,391.96 | 13.1987 | 4,933.27 | 8.8545 |
| G1X Execution Services, LLC | 19.45 | 19.42 | 19.13 | 19.74 | 19.54 | 926.71 | 13.9640 | 4,396.44 | 13.6227 | 3,345.36 | 13.9372 | 1,147.82 | 14.5093 |

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November 2021

Options

Summary

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|--|---|---|---|--|
| 100.00 | 0.66 | 6.06 | 9.07 | 84.21 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CITADEL SECURITIES LLC | 39.04 | 43.64 | 44.06 | 45.24 | 37.97 | 54,015.88 | 53.0952 | 901,348.37 | 52.7917 | 490,042.04 | 49.7283 | 992,983.07 | 42.7957 |
| DASH/IMC | 33.85 | 21.27 | 21.18 | 20.78 | 36.26 | 21,076.92 | 51.8421 | 330,767.02 | 51.6852 | 173,565.84 | 48.0775 | 926,526.02 | 40.0727 |
| Global Execution Brokers, LP | 18.68 | 13.51 | 13.39 | 13.57 | 19.65 | 12,759.72 | 51.2521 | 191,016.14 | 51.1671 | 161,086.43 | 48.5427 | 521,269.16 | 39.8079 |

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Matrix Executions, LLC / Simplex Trading, LLC | 8.37 | 21.58 | 21.38 | 20.41 | 6.03 | 85.50 | 50.0000 | 206,728.50 | 50.0000 | 359,158.00 | 50.0000 | 183,973.00 | 50.0000 |

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December 2021

S&P 500 Stocks

Summary

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|--|---|---|---|--|
| 100.00 | 8.29 | 32.11 | 46.36 | 13.23 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CITADEL SECURITIES LLC | 76.18 | 75.90 | 76.20 | 76.19 | 76.29 | 501.51 | 15.0001 | 2,005.21 | 14.5543 | 1,858.52 | 14.7274 | 972.56 | 13.3209 |
| G1X Execution Services, LLC | 18.90 | 19.20 | 18.72 | 18.76 | 19.64 | 133.62 | 14.9980 | 487.15 | 14.0296 | 464.13 | 14.7752 | 242.66 | 14.9681 |

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|--|---|---|---|--|
| 100.00 | 9.02 | 37.68 | 40.81 | 12.48 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CITADEL SECURITIES LLC | 76.40 | 76.82 | 76.42 | 76.37 | 76.09 | 4,429.49 | 12.7916 | 17,048.26 | 13.2522 | 11,646.27 | 13.8052 | 6,209.75 | 9.3662 |
| G1X Execution Services, LLC | 18.74 | 18.37 | 18.48 | 18.90 | 19.28 | 1,027.69 | 13.4297 | 4,432.54 | 13.5490 | 2,934.23 | 14.2123 | 1,443.96 | 14.8506 |

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|--|---|---|---|--|
| 100.00 | 0.81 | 5.98 | 9.23 | 83.99 |

Venues

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|---|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CITADEL SECURITIES LLC | 39.23 | 44.15 | 44.11 | 45.10 | 38.19 | 55,352.08 | 52.4068 | 726,362.48 | 52.7141 | 420,304.30 | 48.6368 | 755,916.56 | 40.9183 |
| DASH/IMC | 34.24 | 20.16 | 20.18 | 19.94 | 36.95 | 24,936.85 | 51.1903 | 300,787.56 | 51.3960 | 208,768.50 | 47.7185 | 976,013.83 | 39.0721 |
| Global Execution Brokers, LP | 17.75 | 12.27 | 12.23 | 12.53 | 18.77 | 9,791.51 | 50.8412 | 163,363.87 | 50.9466 | 130,127.15 | 47.6431 | 386,097.43 | 38.0543 |
| Matrix Executions, LLC / Simplex Trading, LLC | 8.71 | 23.42 | 23.48 | 22.42 | 6.02 | 307.50 | 50.0000 | 195,487.00 | 50.0000 | 399,766.50 | 50.0000 | 168,870.50 | 50.0000 |

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XML: <https://public.s3.com/rule606/twtt/>