



1000 West Fulton St. Suite 220
Chicago, IL 60607

ACCOUNT PROFILE INFORMATION

Personal:

Account Number

First Name

Last Name

XXX-XX-

Social Security Number

Date of Birth (mm/dd/yyyy)

Email

Knowledge:

Limited Good Extensive
Knowledge of stocks

Limited Good Extensive
Knowledge of covered options & spreads

Limited Good Extensive
Knowledge of uncovered options

Limited Good Extensive
Knowledge of futures

Investment Objectives:

Speculation: Taking larger risks, usually by frequent trading, with hope of higher than-average gain

Growth: Investing in stocks, options, or futures with strong earnings and/or revenue growth or potential

Income: A strategy focused on current income rather than capital appreciation

Capital Preservation: A conservative investment strategy characterized by a desire to avoid risk of loss

Financial:

\$0 - \$25K \$25 - \$50K \$50K - \$100K \$100K - \$200K \$200K - \$300K \$300K - \$500K \$500K - \$1.2M \$1.2M+

Annual income

\$0 - \$50K \$50 - \$100K \$100K - \$200K \$200K - \$500K \$500K - \$1M \$1M - \$5M \$5M+

Net worth

\$0 - \$50K \$50 - \$100K \$100K - \$200K \$200K - \$500K \$500K - \$1M \$1M - \$5M \$5M+

Liquid net worth

Options Level:

The Works

Basic

Limited

By checking this box, I agree that the information provided herein is current and accurate. Furthermore, I understand that I am requesting tastyworks to update the Option Level for my account listed above and hereby authorize tastyworks to make such update should my investor profile deem me suitable for such update.

I understand that by checking this box I am providing a legally binding signature and agree to hold tastyworks harmless for any damages.

tastyworks reserves the right, in its sole discretion, to reject any request to upgrade an account without prior notice.