



1000 West Fulton St. Suite 220  
Chicago, IL 60607

## Investor Profile Update

### Personal:

Account Number

First Name

Last Name

XXX-XX-

Social Security Number

Date of Birth (mm/dd/yyyy)

Email

### Knowledge:

Limited      Good      Extensive  
Knowledge of stocks

Limited      Good      Extensive  
Knowledge of covered options & spreads

Limited      Good      Extensive  
Knowledge of uncovered options

Limited      Good      Extensive  
Knowledge of futures

### Investment Objectives:

Speculation: Taking larger risks, usually by frequent trading, with hope of higher than-average gain

Growth: Investing in stocks, options, or futures with strong earnings and/or revenue growth or potential

Income: A strategy focused on current income rather than capital appreciation

Capital Preservation: A conservative investment strategy characterized by a desire to avoid risk of loss

### Financial:

\$0 - \$25K    \$25 - \$50K    \$50K - \$100K    \$100K - \$200K    \$200K - \$300K    \$300K - \$500K    \$500K - \$1.2M    \$1.2M+

Annual income

\$0 - \$50K    \$50 - \$100K    \$100K - \$200K    \$200K - \$500K    \$500K - \$1M    \$1M - \$5M    \$5M+

Net worth

\$0 - \$50K    \$50 - \$100K    \$100K - \$200K    \$200K - \$500K    \$500K - \$1M    \$1M - \$5M    \$5M+

Liquid net worth

### Options Level:

The Works

Basic

Limited

*By checking this box, I agree that the information provided herein is current and accurate. I understand that I am requesting tastyworks to update the Option Level for my account listed above and hereby authorize tastyworks to make such update should my investor profile deem me suitable for that Option Level.*

*Furthermore, I understand that by checking this box I am providing a legally binding signature and agree to assume full responsibility for these updates to my account and/or investor profile. I agree to indemnify and hold tastyworks harmless from and against any and all liabilities, damages, losses, claims or actions arising from or related to my errors, improper instructions, or misrepresentations in this request.*

*tastyworks reserves the right, in its sole discretion, to reject any request to update an account's Option Level without prior notice.*

I Agree      Date: \_\_\_\_\_