



Investor Profile Update

Personal:

Account Number

First Name

Last Name

XXX-XX-

Social Security Number

Date of Birth (mm/dd/yyyy)

Email

Knowledge:

Limited Good Extensive
Knowledge of stocks

Limited Good Extensive
Knowledge of covered options & spreads

Limited Good Extensive
Knowledge of uncovered options

Limited Good Extensive
Knowledge of futures

Investment Objectives:

Speculation: Taking larger risks, usually by frequent trading, with hope of higher than-average gain

Growth: Investing in stocks, options, or futures with strong earnings and/or revenue growth or potential

Income: A strategy focused on current income rather than capital appreciation

Capital Preservation: A conservative investment strategy characterized by a desire to avoid risk of loss

Financial:

\$0 - \$25K \$25 - \$50K \$50K - \$100K \$100K - \$200K \$200K - \$300K \$300K - \$500K \$500K - \$1.2M \$1.2M+

Annual income

\$0 - \$50K \$50 - \$100K \$100K - \$200K \$200K - \$500K \$500K - \$1M \$1M - \$5M \$5M+

Net worth

\$0 - \$50K \$50 - \$100K \$100K - \$200K \$200K - \$500K \$500K - \$1M \$1M - \$5M \$5M+

Liquid net worth

Options Level:

The Works

Basic

Limited

By checking this box, I agree that the information provided herein is current and accurate. I understand that I am requesting tastytrade to update the Option Level for my account listed above and hereby authorize tastytrade to make such update should my investor profile deem me suitable for that Option Level.

Furthermore, I understand that by checking this box I am providing a legally binding signature and agree to assume full responsibility for these updates to my account and/or investor profile. I agree to indemnify and hold tastytrade harmless from and against any and all liabilities, damages, losses, claims or actions arising from or related to my errors, improper instructions, or misrepresentations in this request.

tastytrade reserves the right, in its sole discretion, to reject any request to update an account's Option Level without prior notice.

I Agree Date: _____