



Business Continuity Statement

tastyworks, Inc. ("tastyworks", "Firm") is committed to providing its customers with reliable service and secure access to their accounts. Part of tastyworks' commitment is to inform customers of the Firm's plans to address any unforeseen disruption in standard business operations due to a power outage, natural disaster, or other significant events.

In the event of a disruption, tastyworks has a Business Continuity Plan that is designed to enable the Firm to maintain business operations such as processing customer orders and transactions, access to information about account balances and transactions in customer accounts, access to platform tools, and communication with its Clearing Firm to the best of the Firm's abilities under the temporarily limited and/or affected resources.

tastyworks maintains redundant systems across multiple data centers in order to mitigate localized hardware and network failures or other events such as a fire. In most circumstances, a disruption will simply result in a fail-over scenario where business is conducted from an unaffected location. tastyworks will have Customer Support Staff and essential personnel work remotely or be present at a temporary, remote Firm location.

tastyworks continually tests individual components and specific recovery plans to assess, update, and test the updates to ensure it is offering robust service of the highest standards.

Please address any questions or comments to the tastyworks Compliance Department.

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